Letter Head

**Confirmation letter**

**for the purpose of CSSF visa stamping**

**of the Fund prospectus/issuing document**

**updated in accordance with EU Regulation 2019/2088 (“SFDR”)**

Fund Name (“the Fund”):

UCITS management company[[1]](#footnote-1)/AIFM (“IFM”):

CSSF ID: O 999999 Fund Form:  Fund Type:

Date of last Fund Prospectus visa stamped version:

I/We, the undersigned, in the capacity as advisor(s) of the IFM

am/are duly entrusted with the power for filling out, under the ultimate responsibility of the IFM/Fund, this confirmation letter on behalf of the Fund and on behalf of the IFM in order to request visa stamping of a new validated Fund prospectus/issuing document version within the SFDR fast track procedure.

I/We

* confirm that exclusively changes in direct relation to the entry into force of the SFDR have been inserted in this prospectus/issuing document submitted for visa stamp to the CSSF
* confirm that fund’s/sub fund’s investment objectives and policy

Choose an item.

* confirm that disclosures have been made in consideration of the following articles

other:       of SFDR

* confirm that disclosures are accurate, fair, clear, not misleading, simple and concise
* confirm that the change(s) made to the prospectus/issuing document submitted for visa stamp are compliant and entirely in line with the investor information that the Fund has to publish in accordance with SFDR
* confirm that it will be ensured that the marketing communication as well as any KIID or PRIIPS KID where applicable will be in line with and not contradict the disclosures inserted in this prospectus

• confirm that information about policies on the integration of sustainability risks in the investment decision‐process has been published or will be published at the latest on the 10th of March 2021 on a website according to Article 3 of SFDR

• confirm that information required according to Article 4 of SFDR related to adverse sustainability impacts has been published or will be published at the latest on the 10th of March 2021, or 30 June 2021 where Article 4 (3) or (4) is applicable, on a website

• confirm that the remuneration policy has been updated or will be updated at the latest on the 10th of March 2021 to include information on how this policy is consistent with the integration of sustainability risks and confirm that this information has been published or will be published at the latest on the 10th of March 2021on a website

• confirm that the investment decision process has been reviewed to Choose an item. Choose an item. Choose an item.

• confirm that the risk management process (RMP) has been updated or will be updated at the latest on the 10th of March 2021 to integrate the sustainability risks.

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| **Summary table of insertions made in prospectus/issuing document** | | |
| **Related SFDR Article #** | **Brief indication on the nature of disclosure**  **(inserted in general/fund level part of prospectus)** | **Indicate relevant page number(s) where disclosure is inserted in new prospectus version (in case disclosure is inserted at several places in prospectus, separate pages by a hyphen (e.g. 25 – 48 – 65 - ….)** |
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| **Related SFDR Article #** | **Brief indication on the nature of disclosure**  **(inserted in sub fund specific part of prospectus)** | **Indicate relevant page number(s) where disclosure is inserted in new prospectus version (in case disclosure is inserted at several places in prospectus, separate pages by a hyphen (e.g. 25 – 48 – 65 - ….)** |
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| **Date(s)** | **Name, Last Name, Entity of authorised signatory(ies)** | **Signature(s)** |
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For the purpose of the fast track procedure, this confirmation letter must be

1. merged with the updated prospectus in track change version (DOCREP-ENNNNNNNN-CCCCCCCC-PPPP-YYYY-MM-DD-LE-LL-0000.pdf)
2. uploaded, in accordance with Circular CSSF 19/708 relating to the electronic transmission of documents to the CSSF, along with the prospectus (DOCREP-ENNNNNNNN-CCCCCCCC-PPPP-YYYY-MM-DD-PC-LL-0000.pdf) in clean version for visa stamp, both in PDF-text format only.

Any additional document attached must be uploaded as letter (DOCREP-ENNNNNNNN-CCCCCCCC-PPPP-YYYY-MM-DD-LE-LL-0000.pdf).

1. Pursuant to article 2(10) SFDR, UCITS management company’ means: (a) a management company as defined in point (b) of Article 2(1) of Directive 2009/65/EC; or (b) an investment company authorised in accordance with Directive 2009/65/EC which has not designated a management company authorised under that Directive for its management [↑](#footnote-ref-1)